

City of Lincoln Appointment Application

PERSONAL INFORMATION

Application Date: 09/30/2004

Salutation: **Applicant Name:** Finnegan Jr., Gerard R.

Legal Residence: 1936 Ryons Street

City/State/ZipCode: Lincoln, NE 68502

Residence Telephone: 475-2146

Business Telephone: 477-3739

Applicant Occupation: Investment Advisor

Employer: Self-employed

E-mail Address: FIN1201@aol.com

Affirmative Action Information: Sex Male

Racial/Ethnic Background: Caucasian/White

EDUCATION

College for Financial Planning, Denver, CO, CFP (1983); George Washington University, Washington, DC, MBA Management (1977); U.S. Naval Academy, Annapolis, MD, BS Engineering (1970); Bellevue HS, Bellevue, NE (1966)

PRESENT OR PREVIOUS COMMUNITY/VOLUNTEER ACTIVITIES

Board of Directors, Cedars Youth Services; Board of Trustees, Cedars Foundation; Board of Directors, Lincoln Citizen Advocacy; J. J. Exon Library Foundation Board; Friends of Love Library Board, UNL; Chancellor's Advisory Board on Social Responsibility, UNL; Board of Directors UNL Parents Association, President 1996; Fellow, Leadership Lincoln; Community Strategic Planning Initiative - Star Venture; Nebraska Society of Certified Financial Planners (President 1988-89); Institute of Certified Financial Planners, Financial Planning Association; College of Business Administration, University of Nebraska-Lincoln (Adjunct Faculty 1987 to present)

EMPLOYMENT

In private practice as an Investment Advisor

Board(s) Requested

Police and Fire Pension Plan Investment Board

Gerard R. Finnegan, Jr.

Home:
1936 Ryons St.
Lincoln, NE 68502
(402) 475-2146

Office:
1201 O St. Suite 301
Lincoln, NE 68508
(402) 477-3739
FIN1201@aol.com

Certifications/Licenses/Registrations/Filings

Certified Financial Planner - CFP Board of Standards
Registered Investment Advisor - Nebraska Dept. of Banking,
Bureau of Securities
Securities and Exchange Commission file# 28309
NASD-R/NASAA IARD CRD# 114344
Dept. of Labor (ERISA)

Education

College for Financial Planning, Denver, CO, CFP (1983)
George Washington University, Washington, DC,
MBA Management (1977)
U.S. Naval Academy, Annapolis, MD, BS Engineering (1970)
Bellevue HS, Bellevue, NE (1966)

Professional History

9-86 to present

- In private practice as an Investment Advisor, Lincoln, NE
- providing investment advisory services and financial planning to individuals, trusts and retirement plans
 - managing investment portfolios
 - evaluation, coordination and implementation of financial plans
 - providing associated income management, tax minimization, gift and estate planning and related fiduciary responsibilities

- 4-78 to 9-86 Investment Broker with Dain Rauscher (formerly Dain, Kalman & Quail and Dain Bosworth), Lincoln, NE
- providing investment and financial planning services to a broad range of clients
 - retail securities sales representative

Professional Affiliations

Nebraska Society of Certified Financial Planners (President 1988-89)
Institute of Certified Financial Planners, Financial Planning Association
College of Business Administration, University of Nebraska-Lincoln
(Adjunct Faculty 1987 to present)

Presentations & Articles

Publication Review, Journal of Financial Planning
Presenter, Omaha World Herald Investors Conference
Presenter, Continuing Education Topics for Professional Organizations
in areas related to finance and investment.
Guest Columnist/Commentator Lincoln Journal-Star, KOLN-KGIN TV

Community Participation

Board of Directors, Cedars Youth Services
Board of Trustees, Cedars Foundation
Board of Directors, Lincoln Citizen Advocacy
J. J. Exon Library Foundation Board
Friends of Love Library Board, UNL
Chancellor's Advisory Board on Social Responsibility, UNL
Board of Directors UNL Parents Association, President 1996
Fellow, Leadership Lincoln
Community Strategic Planning Initiative - Star Venture